. (2-32)

Sheet 1 of 11

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional) 5083US (01-0428)

Application Number Not yet assigned

Applicant Michael E. Connell and Tongbi Jiang

Filing Date: February 25, 2002

Group Art Unit Unkn wn

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
NR	Des. 288,273	February 17, 1987	Gould	\		
	Des. 371,021	June 25, 1996	Pine et al.	1λ		
	Н906	April 2, 1991	Baggett et al.	$\mathbf{\lambda}$		
	2,357,600	September 5, 1944	Pabst			
	2,413,767	January 7, 1947	Hyde	igwedge		
	2,454,548	November 23, 1948	Brinkert	\		
	2,495,541	January 24, 1950	Nolan, Jr.			
	3,239,218	March 8, 1966	Reeves			
	3,254,776	June 7, 1966	Brown			
	3,327,889	June 27, 1967	Dore			
	3,625,384	December 7, 1971	Boerger et al.			
	3,628,672	December 21, 1971	Heinz			
	3,751,587	August 7, 1973	Insier et al.			
	3,774,352	November 27, 1973	Weber		λ	
	3,799,017	March 26, 1974	Halligan			
	3,837,510	September 24, 1074	McWilliams			
	3,887,997	June 10, 1975	Hartleroad et al.			
	3,903,934	September 9, 1975	Vizy			
	3,917,983	November 4, 1975	Kuronen			
	3,937,386	February 10, 1976	Hartleroad et al.			
	3,969,813	July 20, 1976	Minetti et al.			
	3,973,665	August 10, 1976	Giammanco			
	4,024,545	May 17, 1977	Dowling et al.			
	4,027,246	May 31, 1977	Caccoma et al.			
	4,030,622	June 21, 1977	Brooks et al.			
	4,058,217	November 15, 1977	Vaughn et al.			
	4,095,095	June 13, 1978	Mursoka et al.			
M	4.097.715	June 27, 1978	Frizzi			
XAMINER			DATE CONSIDER	1ED (15/	2/04	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP \$ 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary):

Docket Number (Optional) 5083US (01-0428) Application Number

N t yet assigned

Applicant Michael E. Connell and T. ngbi Jiang

Filing Date February 25, 2002

Group Art Unit: Unknown

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
NR	4,123,044	October 31, 1978	Petzi			
	4,148,057	April 3, 1979	Jesse			
	4,178,531	December 11, 1979	Alig			
	4,203,486	May 20, 1980	Rubbright et al.			
	4,285,433	August 25, 1981	Garrett, Sr. et al.			
	4,323,755	April 6, 1982	Nierenberg			
	4,346,284	August 24, 1982	Grollimund et al.			
	4,352,617	October 5, 1982	Sakai et al.			
	4,353,796	October 12, 1982	Kubo et al.			
	4,354,770	October 19, 1982	Block			
	47,370,542	January 25, 1983	Mills et al.			
	4,375,025	February 22, 1983	Carlson			
	4,382,580	May 10, 1983	Hellander			
	4,403,134	September 6, 1983	Klingel			
	4,454,413	June 12, 1984	Morton, Jr.			
	4,461,073	July 24, 1984	Harringer et al.			
	4,493,767	January 15, 1985	Monteyne			
	4,510,673	April 16, 1985	Shils et al.			
	4,513,539	April 30, 1985	Steinback			
	4,517,436	May 14, 1985	Lawrence			
	4,523,319	June 11, 1985	Pfost			
	4,534,695	August 13, 1985	Stump et al.			
	4,539,878	September 10, 185	Linker et al.			\\.
	4,543,716	October 1, 1985	Damiano et al.			
	4,543,716	October 1, 1985	Damiano et al.			
	4,552,271	November 12, 1985	Kranz			
	4,561,541	December 31, 1985	Lawrence			
M	4,577,563	March 25, 1986	Sidler			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP \$ 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant:

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional) 5083US (01-0428) Application Number Not yet assigned

Applicant Michael E. Connell and Tongbi Jiang

Filing Date: February 25, 2002

Group Art Unit Unknown

U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT. NUMBER	DATE	NAMÉ	CLASS	SUBCLASS	FILING DATE
AVZ	4,585,931	April 29, 1986	Duncan et al.			
	4,594,263	June 10, 1986	Folk et al.			
	4,607,744	August 26, 1986	Pak			
	4,610,359	September 9, 1986	Muller			
	4,616,414	October 14, 1986	Cushman			
	4,626,656	December 2, 1986	Ootsuka et al.			
	4,630,513	December 23, 1986	Ketler			
	4,638,144	January 20, 1987	Latta, Jr.			
	4,644,126	February 17, 1987	Schumacher			
	4,654,290	March 31, 1987	Spanjer			
	4,660,282	April 28, 1987	Pfaff			
	4,677,370	June 30, 1987	Tustaniwskyj et al.			
	4,665,298	May 12, 1987	La Rocca		λ	
	4,696,096	September 29, 1987	Green et al.			
	4,702,788	October 27, 1987	Okui			
	4,707,722	November 17, 1987	Folk et al.			
	4,711,334	December 8, 1987	Harry et al.			
	4,718,531	January 12, 1988	Bianchi et al.			
	4,719,502	January 12, 1988	lkeya et al.			\setminus
	4,720,317	January 19, 1988	Kuroda et al.			
	4,749,631	June 7, 1988	Haluska et al.			
	4,753,863	June 28, 1988	Spanjer			
	4,756,977	July 12, 1988	Haluska et al.			
	4,759,675	July 26, 1988	Bond et al.			\setminus
	4,767,984	August 30, 1988	Bakker			
	4,769,345	September 6, 1988	Butt et al.			
	4,772,079	September 20, 1988	Douglas et al.			
AR	4.778.326	October 18, 1988	Althouse et al.			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP \$ 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional): 5083US (01-0428)

Application Number

Not yet assigned

Applicant Michael E. Connell and Tongbi Jiang

Filing Date: February 25, 2002

Group Art Unit Unknown

U.S. PATENT DOCUMENTS FILING DATE EXAMINER. DOCUMENT SUBCLASS CLASS DATE NAME IF APPROPRIATE INITIAL NUMBER October 18, 1988 4,778,326 Althouse et al. December 13, 1988 Pocci: 4,790,515 4,791,267 Yokoyama et al. December 13, 1988 4,818,835 April 4, 1989 Kuwabara et al. April 11, 1989 Pryor et al. 4,821,151 May 23, 1989 Grabbe et al. 4,832,612 Safabakish et al. 4,850,780 July 25, 1989. 4,861,620 August 29, 1989 Azuma et al. December 5, 1989 Finkel et al. 4,884,420 Ito et al. 4,891,242 January 2, 1990 April 3, 1990 4,914,269 Kinsman et al. April 10, 1990 Cartlidge et al. 4,915,565 Waldner 4,926,677 May 22, 1990 Nonaka July 31, 1990 4,944,492 July 31, 1990 4,945,204 Nakamura et al. Dorner et al. 4,947,981 August 14, 1990 4,965,927 October 30, 1990 Holzman 4,967,260 October 30, 1990 **Butt** February 5, 1991 4,990,051 Safabakkah et al. 5,003,251 Fuoco March 26, 1991 Butt 5,014,159 May 7, 1991 Sikorski 5,018,938 May 28, 1991 5,020,959 June 4, 1991: Soth 5,030,551 July 9, 551 Herren et al. Amazeen et al. 5,043,657 August 27, 1991 February 25, 1992 McCullough et al. 5,090,664 5,102,291 April 7, 1992 Hine: May 19, 1992 5.113.992 Sadamori DATE CONSIDERED EXAMINER

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP \$ 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional) 5083US (01-0428)

Not yet assign d

Applicant Michael E. C. nnell and T. ngbi Jiang

Filing Date February 25, 2002

Group Art Unit Unknown

EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE
AR	5,117,963	June 2, 1992	Thayer et al.	\		
	5,118,369	June 2, 1992	Shamir			
	5,127,837	July 7, 1992	Shah et al.			
	5,128,737	July 7, 1992	van der Have			
	5,148,969	September 22, 1992	Boucher et al.			
	5,150,797	September 29, 1992	Shibata			
	5,157,412	October 20, 1992	Kleinschmidt et al.			
	5,158,818	October 27, 1992	Aurichio			
	5,175,774	December 29, 1992	Truax et al.			
	5,177,368	January 5, 1993	Kay			
	5,197,650	March 30, 1993	Monzen et al.			
	5,203,143	April 20, 1993	Gutentag			
	5,204,987	April 20, 1993	Klingel			
	5,206,280	April 27, 1993	Williams			
	5,218,894	June 15, 1993	College et al.			
	5,219,765	June 15, 1993	Yoshida et al.			
	5,220,754	June 22, 1993	Tayebi et al.			
	5,226,361	July 13, 1993	Grant et al.			
	5,245,166	September 14, 1993	Shepard			
	5,245,900	September 21, 1993	Dojnik			
	5,256,578	October 26, 1993	Corley et al.			
	5,260,542	November 9, 1993	Ishiguro et al.			
	5,262,470	November 16, 1993	Shimotsuma et al.			\
	5,263,567	November 23, 1993	Costa			
	5,268,059	December 7, 1993	Olson			
	5,278,442	January 11, 1994	Prinz et al.			
	5,279,975	January 18, 1994	Devereaux et al.			
ML	5.289.113	February 22, 1994	Meaney et al.			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

	orm PTO-1449		Docket Number (Opti 5083US (01-042)		Application Not yet a			
INFOR	MATION DISCL IN AN APPL	OSURE CITATION	Applicant Michael E. Connell and Tongbi Jiang					
	(Use several sheets		Filing Date February 25, 2002		Group Art Unit Unknown			
		U.S. PATEN	NT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
AL	5,290,134	March 1, 1994	Baba					
	5,294,812	March 15, 1994	Hashimoto et al.					
	5,295,778	March 22, 1994	Hirai et al.	\				
	5,299,902	April 5, 1994	Fujiwara et al.					
	5,301,143	April 5, 1994	Ohri et al.	$\left\{ \left\{ \cdot\right\} \right\} $				
	5,302,798	April 12, 1994	lnagawa et al.					
	5,307,010	April 26, 1994	Chiu					
	5,313,156	May 17, 1994	Klug et al.					
	5,315,094	May 24, 1994	Lisy					
	5,322,173	June 21, 1994	Кау		λ			
	5,323,051	June 21, 1994	Adams					
	5,329,090	July 12, 1994	Woelki et al.					
	5,332,463	July 26, 1994	Eberlein et al.					
	5,335,458	August 9, 1994	Stoffers et al.					
	5,336,928	August 9, 1994	Neugebauer, deceased et al.					
	5,346,802	September 13, 1994	Ohbachi et al.					
	5,357,077	October 18, 1994	Tsuruta			\		
	5,360,747	November 1, 1994	Larson et al.					
	5,365,653	November 22, 1994	Padrun			\		
	5,373,039	December 13, 1994	Sakai et al.					
	5,375,320	December 27, 1994	Kinsman et al.					
	5,379,514	January 10, 1995	Okuda et al.					
	5,399,828	March 21, 1995	Riddle et al.					
	5,402,563	April 4, 1995	Satoh et al.					
	5,413,629	May 9, 1995	Yasui et al.					
	5,415,939	May 16, 1995	Yeung					
	5,417,408	May 23, 1995	Ueda					
N	5.423.716	June 13, 1995	Strasbaugh					
CAMINER	MM		DATE CONSIDERED 05/03/02					

12-921

Sheet 7 of 11

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Docket Number (Option 5083US (01-0428) Applicant Michael E)	Application Not yet a	ssigned		
	(Use several sheets		Filing Date: February 25, 2002		Group Art Unit Unknown			
		U.S. PATEN	NT DOCUMENTS					
EXAMINER:	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
AR	5,423,931	June 13, 1995	Inoue et al.					
	5,427,349	June 27, 1995	Obrecht	\mathbf{A}				
	5,445,923	August 29, 1995	Takahashi et al.					
	5,455,459	October 3, 1995	Fillion et al.					
	5,454,900	October 3, 1995	Han et al.					
	5,448,488	September 5, 1995	Oshima					
	5,458,158	October 17, 1995	Kawanabe					
	5,463,227	October 31, 1995	Stern et al.		\			
	5,465,470	November 14, 1995	Vongfuangfoo et al					
	5,466,908	November 14, 1995	Hosoya et al.					
	5,467,023	November 14, 1995	Takeyama					
	5,479,694	January 2, 1996	Baldwin					
	5,481,135	January 2, 1996	Chandra et al.					
	5,481,202	January 2, 1996	Frye, Jr.					
	5,484,314	January 16, 1996	Farnworth					
	5,487,471	January 30, 1996	Marchek et al.					
	5,496,432	March 5, 1996	Sumi et al.					
	5,497,033	March 5, 1996	Fillion et al.					
	5,498,851	March 12, 1996	Hayashi et al.					
	5,534,094	July 9, 1996	Arjavalingam et al.					
	5,545,367	August 13, 1996	Bae et al.			\\\		
	5,547,906	August 20, 1996	Badehi					
	5,551,959	September 3, 1996	Martin et al.					
	5,566,414	October 22, 1996	Nonaka					
	5,566,840	October 22, 1996	Waldner et al.					
	5,587,094	December 24, 1996	Yoshida et al.					
	5,588,797	December 31, 1996	Smith					
M	5.590.787	January 7, 1997	Hodges					
EXAMINER	M	Λ	DATE CONSIDERED 05/03/02					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP \$ 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Docket Number (Optional) Application Number Form PTO-1449 5083US (01-0428) Not yet assigned INFORMATION DISCLOSURE CITATION Applicant Michael E. Connell and T ngbi Jiang IN AN APPLICATION Filing Date: February 25, 2002 Group Art Unit Unkn wn (Use several sheets if necessary) U.S. PATENT DOCUMENTS FILING DATE EXAMINER CLASS SUBCLASS DOCUMENT NUMBER NAME DATE IF APPROPRIATE INITIAL 115 5,829,115 November 3, 1998 Speller, Jr. et al. 5,838,361 November 17, 1998 Corbett 5,838,431 November 17, 1998 Hara et al. Sim et al. 5,840,614 November 24, 1998 5,844,803 December 1, 1998 Beffa 5,856,923 January 5, 1999 Jones et al. 5,866,644 February 2, 1999 Mercx et al. 5,870,820 February 16, 1999 Arakawa et al. 5,875,544 March 2, 1999 Chou 5,903,044 May 11, 1999 Farnworth et al. May 25, 1999 5,907,492 Akram et al. 5,909,706 June 8, 1999 Jin et al. 5,911,461 Sauter et al. June 15, 1999 Beffa 5,915,231 June 22, 1999: July 27, 1999 Beffa 5,927,512 5,928,842 July 27, 1999 Shinmoto et al. 5,932,119 August 3, 1999 Kaplan et al. 5,937,270 August 10, 1999 Canella August 24, 1999 Mori et al. 5,942,136 5,946,791 September 7, 1999 Baldwin October 5, 1999 Yamamoto et al. 5,962,097 5,966,307 October 12, 1999 Lin 5,976,955 November 2, 1999 Hodges Corbett. 5,985,377 November 16, 1999: November 16, 1999 5,985,510 Akutsu et al. 5,986,235 Canella November 16, 1999 5,991,245 November 23, 1999 Yohimura M 5.999.252 December 7, 1999 Greisz DATE CONSIDERED **EXAMINER** 05/03/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 8 of 11

Form PTO-1449		Docket Number (Opt 5083US (01-042)	• • • • • • • • • •	Application Number N t yet assigned			
INFOR	IMATION DISCL	LOSURE CITATION	Applicant Michael I				
	(Use several sheet		Filing Date February 25, 2002		Group Art Unit Unknown		
		U.S. PATEN	T DOCUMENTS				
REMIMAXE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
AL	5,600,150	February 4, 1997	Stern et al.	\.			
	5,600,181	February 4, 1997	Scott et al.	Λ			
	5,605,641	February 25, 1997	Chiba et al.				
	5,629,484	May 13, 1997	Liska	\setminus			
	5,632,083	May 27, 1997	Tada et al.				
	5,632,915	May 27, 1997	Schnetzer et al.	λ			
	5,635,766	June 3, 1997	Cain	::			
	5,638,958	June 17, 1997	Sanchez				
	5,643,477	July 1, 1997	Gullo et al.		T		
	5,648,436	July 15, 1997	Bird				
	5,653,900	August 5, 1997	Clement et al.				
	5,654,204	August 5, 1997	Anderson				
	5,656,548	August 12, 1997	Zavracky et al.		$\left\{ \cdot \right\}$		
	5,665,609	September 9, 1997	Mori		λ		
	5,682,065	October 28, 1997	Farnworth et al.		\		
	5,691,544	November 25, 1997	Stern et al.				
	5,692,873	December 2, 1997	Weeks et al.				
	5,715,592	February 10, 1998	Mori et al.				
	5,77,162	February 10, 1998	Matsuoka				
	5,719,372	February 17, 1998	Togari et al.			\	
	5,722,639	March 3, 1998	Nonaka				
	5,761,301	June 2, 1998	Oshima et al.				
	5,765,277	June 16, 1998	Jin et al.				
	5,793,051	August 11, 1998	Stern et al.			\setminus	
	5,801,067	September 1, 1998	Shaw et al.				
	5,805,472	September 8, 1998	Fukasawa				
	5,808,268	September 15, 1998	Balz et al.				
H	5.821.497	October 13, 1998	Yamazaki et al.				
XAMINER	AMM		DATE CONSIDER	ed OS	103/0	12	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet: 10 of 11 Docket Number (Optional) Form PTO-1449 Application Number 5083US (01-0428) N t yet assigned INFORMATION DISCLOSURE CITATION Applicant Michael E. C nnell and T ngbi Jiang IN AN APPLICATION Filing Date: February 25, 2002 (Use several sheets if necessary) Group Art Unit Unknown U.S. PATENT DOCUMENTS DOCUMENT EXAMINER FILING DATE SUBCLASS DATE NAME CLASS INITIAL NUMBER IF APPROPRIATE 6,008,070 December 28, 1999 Farworth December 28, 1999 6,008,476 Neiconi et al. 6,011,315 January 4, 2000 Toyosawa et al. February 8, 2000 6,023,042 Hensel et al. Zhang et al. February 22, 2000 6,028,134 M 6,030,485 February 29, 2000 Yamada 6,048,624 April 11, 2000 Wilson et al. May 16, 2000 6,062,119 Overgaard 6,070,731 Kobayashi et al. June 6, 2000 July 4, 2000 6,084,288 Farnworth et al. 6,100,486 August 8, 2000 Beffa 6,108,026 August 22, 2000 Corbett 6,113,992 September 5, 2000 Corbett September 19, 2000 6,122,563 Beffa: October 24, 2000 6,137,125 Costas et al. October 31, 2000 Overgaard 6,138,542 5,153,034 November 28, 2000 Lipsker November 28, 2000 6,154,366 Ma et al. 6,188,129 February 13, 2001 Paik et al. 6,202,292 March 20, 2001 Farnworth et al. 6,229,323 May 8, 2001 Tverdy et al. 6,244,569 June 12, 2001 Canella et al. 6,244,634 June 12, 2001 Robinson Sil 6,287,068 September 11, 2001 Canella et al. DATE CONSIDERED **EXAMINER**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP \$ 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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						Sheet	0011 of 11		
Form PTO-1		SOUTH OUT ATION	Docket Number (Op 5083US (01-042		Application Not yet a	· . · . · · · · · · · · · · · · · · · ·			
INFUH	MATION DISCLO	OSURE CITATION CATION	Applicant Michael	Applicant Michael E. Connell and Tongbi Jiang					
	(Use several sheets		Filing Date: Februar	Filing Date: February 25, 2002 Group					
		FOREIGN P	ATENT DOCUMENTS	S					
	DOCUMENT NUMBER DATE			O ASS	CUDCUACE	Tram	liation		
A 'O	DOCUMENS NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO		
PL	1.284.603	01/1961	France						
	843232	06/1970	Canada						
	57-53955	03/1982	Japan						
	61-297047	12/1986	JР	λ					
	243 798	03/1987	East Germany						
	62-244591	10/1987	Japan						
	63171714	07/1988	JP						
	63-202521	08/1988	JP						
	1-100677	04/1989	Japan						
	2-205281	08/1990	Japan						
	466416	03/1992	JP						
	5-69164	03/1993	Japan						
	6-226472	08/1994	JP						
	0 653 791 A1	10/1994	EP						
	06314899 A	11/1994	Japan			2			
	6-326203	11/1994	Japan						
AR	0 653 791	05/1995	EPO						
					Z.:				
EXAMINER	M		DATE CONSIDER	ED 05/	03/02)			
EXAMINER	Initial if citation	considered, whet	her or not citation is in	conforman	ice with M	PEP § 6	309;		

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.